



Institute of Banking and Finance of Trinidad and Tobago

**REGULATORY COMPLIANCE UPDATES
ANTI-MONEY LAUNDERING/COMBATTING THE FINANCING OF
TERRORISM
One (1) Day - Workshop Outline**

OBJECTIVE:

This workshop will identify best practices in the compliance arena, explore emerging risks to financial institutions, examine new trends in compliance in the global space and the impact within the local context in 2020 and beyond.

TOPICS:

Areas which will be covered during the course of the program include the following:

- **Trinidad and Tobago Legislative Update & Progress since last Mutual Evaluation Report 2016**
- **Office of Foreign Assets Control (OFAC) Sanctions Compliance in Caribbean Region**
 - Cuba
 - Venezuela
- **Emerging Issues:**
 - Cryptocurrency
 - Decriminalization of Marijuana
 - Cybersecurity
 - New Models of Citizen by Investment programs
- **Ethics Compliance:**
 - Data Privacy & Protection
 - Whistleblowing
 - Anti-Bribery & Corruption
 - Insider Trading
 - Conflicts of Interest



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- **AML Transaction Monitoring for Suspicious Transactions: Role of Automation and Digitization.**

LEARNING OUTCOMES:

- Understanding the role and obligations of financial institutions in the Anti-Money Laundering (AML) /Anti-Terrorist Financing (ATF) space
- Understanding the connectivity between the private and public sector in meeting AML/ATF Goals
- Understanding the ethical issues in compliance
- Impact of the global initiatives on local regulatory landscape AML/ATF Goals
- Understanding the role of automation and digitization for AML transaction monitoring.

METHODOLOGY:

This workshop will incorporate an interactive mix of a PowerPoint presentation, group discussions, question and answer sessions and case study analysis.